

02/28/02



U.S. PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on February 28, 2002 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL937779632US, addressed to the Commissioner for Patents, Washington, DC 20231

Attorney Docket No.: SRI1P031/US-4149-5

First Named Inventor: Heim



Mary Tran

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))

(Regular application claiming priority of a provisional)

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
Jon Heim, Ronald E. Pelrine, Roy D. Kornbluh, and Joseph S. Eckerle

For: **ELECTROACTIVE POLYMER ROTARY CLUTCH MOTORS**

Assigned to: SRI International

Priority of U.S. Provisional Application No. 60/273,108 filed on March 2, 2001 is claimed under 35 U.S.C. § 119(e).

Application Elements:

- ☒ **47** Pages of Specification, Claims and Abstract
- ☒ **14** Sheets of informal Drawings
- ☐ Combined Declaration and Power of Attorney
- ☐ Separate Declaration

Accompanying Application Parts:

- ☐ Do not publish this application. Nonpublication Request is attached.
- ☐ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
- ☐ Separate Power of Attorney by Assignee
- ☐ 37 CFR 3.73(b) Statement by Assignee
- ☐ Information Disclosure Statement with Form PTO-1449
- ☐ Copies of IDS Citations
- ☒ Limited Recognition Under 37 C.F.R. §10.9(b) for William J. Plut
- ☒ Return Receipt Postcard

Claim For Foreign Priority

☐ Priority of _____ Application No. _____ filed on _____
is claimed under 35 U.S.C. § 119.
☐ The certified copy is enclosed. ☐ The certified copy will follow.

☐ Amend the specification by inserting before the first line the sentence:
"This application claims the benefit of U.S. Provisional Application No. _____
filed on _____, the disclosure of which is incorporated herein by reference."

Fee Calculation (37 CFR § 1.16)

☒ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

☒ **PLEASE DEFER FILING FEES AT THIS TIME**

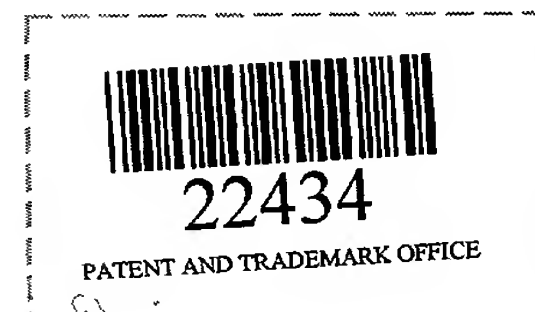
☐ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. _____).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

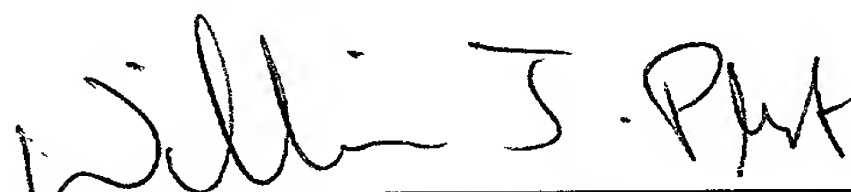
☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 500388 (Order No. SRI1P031).

☒ Please send correspondence to the following address:

Customer Number 022434



Date: February 28, 2002



William J. Plut
Limited Recognition Under 37 C.F.R. §10.9(b)

**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATES PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

William Plut is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Beyer, Weaver, and Thomas, LLP law firm to prepare and prosecute patent applications wherein the patent applicant is the client of the Beyer, Weaver, and Thomas, LLP law firm, and the attorney or agent of record in the applications is a registered practitioner who is a member of the Beyer, Weaver, and Thomas, LLP law firm. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) William Plut ceases to lawfully reside in the United States, (ii) William Plut's employment with the Beyer, Weaver, and Thomas, LLP law firm ceases or is terminated, or (iii) William Plut ceases to remain or reside in the United States on an TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the United States Patent and Trademark Office.

Expires: June 10, 2002



William J. Griffin, Acting Director
Office of Enrollment and Discipline